



PATENT  
Atty. Docket: 1400-45 (1602)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant : HE et al. Examiner: To be Assigned  
Serial No. : 10/791,018 Group Art Unit: To be Assigned  
Filed : March 2, 2004

For : SYSTEM AND METHOD FOR ILLUMINATING AND READING  
OPTICAL CODES IMPRINTED OR DISPLAYED ON REFLECTIVE SURFACES

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

## **INFORMATION DISCLOSURE STATEMENT**

Sir:

Pursuant to Applicant(s) duty of disclosure, the information listed in the attached form PTO-1449 is brought to the attention of the Examiner. Copies of the listed items are not enclosed as the Office hereby waives the requirement under 37 CFR 1.98.

The citation of the listed items are not a representation that they constitute a complete or exhaustive listing of the relevant art or that the references are prior art. The items listed are identified in good faith, but are not intended to substitute for the Examiner's search. It is hoped, however, that in addition to apprising the Examiner of these particular items, they will assist in identifying fields of search and in making as full

**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, postpaid in an envelope, addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

Dated: May 11, 2004

  
Tricia D'Agostino

and complete a search as possible. The filing of this information disclosure statement is not an admission that the information cited herein is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

- [X] This information disclosure statement is being filed within three (3) months of the filing date of this application.
- [ ] This information disclosure statement is being filed within three (3) months of the date of entry of the national stage as set forth in 37 C.F.R. '1.491 in an international application.
- [X] To the best of Applicant(s) knowledge, this information disclosure statement is being filed before the date of mailing of a first Office Action on the merits in connection with this case.
- [ ] Enclosed herewith is a certificate under 37 C.F.R. ' 1.97(e)(1).
- [ ] Enclosed herewith is a petition under 37 C.F.R. ' 1.97(d)(ii).
  - [ ] Enclosed by check is the petition fee of \$130.00. (37 C.F.R. '1.17(i)(1))
  - [ ] Please charge the \$130.00 petition fee to Deposit Account No.  
**50-2140**.
- [ ] Enclosed by check is the \$180.00 fee required by 37 C.F.R. ' 1.17(p).
- [ ] Please charge the \$180.00 fee required by 37 C.F.R. ' 1.17(p) to Deposit Account No. **50-2140**.
- [X] Please charge any deficiency as well as any other fee(s) which may become due under 37 C.F.R. ' 1.16 and/or ' 1.17 at any time during the pendency of this application, or credit any overpayment of such fee(s) to Deposit Account **50-2140**. Also, in the event any extensions of time for responding and/or a petition under 37 C.F.R. ' 1.17(i)(i) are required for the pending application(s), please treat this paper as a petition to extend the time and/or enter this Information Disclosure Statement as required and charge Deposit Account No. **50-2140** therefor. **TWO (2) COPIES OF THIS SHEET ARE ENCLOSED.**

The claims of the application as now presented are believed to be patentably distinguish over the prior art and to be in condition for allowance. Early and favorable consideration of this application are respectfully requested.

Respectfully submitted,



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Sheet 1 of 1

Form PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. 1400-45 (1602)	SERIAL NO. 10/791,018
INFORMATION DISCLOSURE STATEMENT BY APPLICANT  (Use several sheets if necessary)		APPLICANTS He et al.	
		FILING DATE March 2, 2004	GROUP ART UNIT Not Yet Assigned

U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		2002/0148900	4/13/01	Gurevich et al.			
		6,336,587	1/8/02	He et al.			
		6,340,114	1/22/02	Correa et al.			

FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
							YES

OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)							

EXAMINER	DATE CONSIDERED

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

(Form PTO-1449 [6-4])